

RECEIVED  
CENTRAL FAX CENTER  
AUG 29 2006

## FAX TRANSMISSION

DATE: August 29, 2006

PTO IDENTIFIER: Application Number 10/516,733-Conf. #8573  
Patent Number

Inventor: You-Ping Chan et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: PATTON BOGGS LLP

Lacy L. Kolo

PHONE: (703) 744-8000

Attorney Dkt. #: 022290.0122PTUS

PAGES (Including Cover Sheet): 63

CONTENTS: Certificate of Transmission (1 page)  
Amendment Transmittal (1 page)  
Preliminary Amendment (8 pages)  
Substitute Specification (26 pages)  
Marked Up Specification (26 pages)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (703) 744-8000 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

PATTON BOGGS LLP  
8484 Westpark Drive, 9th Floor, McLean, Virginia 22102  
Telephone: (703) 744-8000 Facsimile: (703) 744-8001

RECEIVED  
CENTRAL FAX CENTER

AUG 29 2006

PTO/SB/87 (09-04)

Approved for use through 07/31/2006. OMB 0851-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

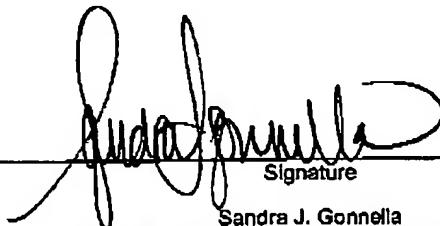
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (If known): 10/516,733

Attorney Docket No.: 022290.0122PTUS

**Certificate of Transmission under 37 CFR 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on August 29, 2006  
Date

Signature

Sandra J. Gonnella

Typed or printed name of person signing Certificate

Registration Number, if applicable

(703) 744-8018

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Amendment Transmittal (1 page)  
Preliminary Amendment (8 pages)  
Substitute Specification (26 pages)  
Marked Up Specification (26 pages)

RECEIVED  
CENTRAL FAX CENTER

003

AUG 29 2006

AMENDMENT TRANSMITTAL LETTER				Docket No. 022290.0122PTUS	
Application No. 10/516,733-Conf. #8573	Filing Date December 6, 2004	Examiner Not Yet Assigned	Art Unit 1713		
Applicant(s): You-Ping Chan et al.					
Invention: POLYAMINOACIDS FUNCTIONALIZED BY ALPHA TOCOPHEROL AND USES THEREOF, PARTICULAR FOR THERAPEUTIC APPLICATIONS					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is a Preliminary Amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	27	- 47 =	0	x	
Independent Claims	1	- 8 =	0	x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					0.00
<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity <input checked="" type="checkbox"/> No additional fee is required for this amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____. A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-2228</u> as described below. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
Dated: <u>August 29, 2006</u>					
<u>Lacy L. Kolo, Ph.D.</u> Attorney/Agent Reg. No.: 55,340					
<b>PATTON BOGGS LLP</b> 8484 Westpark Drive, 9th Floor McLean, Virginia 22102 (703) 744-8085					

RECEIVED  
CENTRAL FAX CENTER

004

AUG 29 2006

Application No. 10/516,733  
Amendment dated August 29, 2006  
First Preliminary Amendment

Docket No.: 022290.0122PTUS

Docket No.: 022290.0122PTUS  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
You-Ping Chan et al.

Application No.: 10/516,733

Confirmation No.: 8573

Filed: December 6, 2004

Art Unit: 1713

For: POLYAMINOACIDS FUNCTIONALIZED BY  
ALPHA TOCOPHEROL AND USES  
THEREOF, PARTICULAR FOR  
THERAPEUTIC APPLICATIONS

Examiner: Not Yet Assigned

FIRST PRELIMINARY AMENDMENTMS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

## INTRODUCTORY COMMENTS

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Remarks/Arguments begin on page 8 of this paper.